IAP7 Rec'd PCT/PTO 16

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES JMYT-353US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/553,490 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 19 April 2004 (19.04.2004) 17 April 2003 (17.04.2003) PCT/GB04/001680 TITLE OF INVENTION METHOD OF DECOMPOSING NITROGEN DIOXIDE APPLICANT(S) FOR DO/EO/US POLLINGTON, Stephen David; RAJARAM, Raj Rao; and WALKER, Andrew Peter Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5 is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT

### Items 11 to 20 below concern document(s) or information included:

An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12

A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

Article 36 (35 U.S.C. 371(c)(5)).

15 A substitute specification.

A power of attorney and/or change of address letter. 16

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17.

A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/553,490 JMYT-353US PCT/GB04/001680 20. Other items or information: 1) PTO-2038 2) Copy of the Notification of Missing Requirements 3) Return Receipt Postcard The following fees have been submitted PTO USE ONLY **CALCULATIONS** 21. Basic national fee (37 CFR 1.492(a)) ......\$300 \$ 22. Examination Fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 All other situations.....\$200 ☐ Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ International Search Authority ......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated by the US by the IB......\$400 All other situations.....\$500 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction Extra Sheets RATE **Total Sheets** thereof (round up to a whole number) x \$250 -100 =/50 = Surcharge of \$130,00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130 after the date of commencement of the national stage (37 CFR 1.492(h)) NUMBER EXTRA RATE NUMBER FILED **CLAIMS** \$ **Total Claims** - 20 = x \$50 x \$200 \$ - 3 = Independent Claims \$ + \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 130 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest

**TOTAL FEES ENCLOSED =** 06 22/2006 GFREY1 00000083 10553490

130.00 UP

by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied

TOTAL NATIONAL FEE =

s 130

\$40

\$170

Amount to be

Amount to be

refunded:

charged

\$

\$

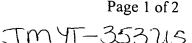
01 FC:1617

claimed priority date (37 CFR 1.492(i)).

PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	•					
a. 🔲	A check in the amount of \$ to cover the above fees is enclosed.					
b. 🗌	Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
с. 🛚	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.					
d. 🛚	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
Cus	atnerPrestia, P.O. Box 980, Valley Forge, PA 19482	SIGNATURE  Christian M. Bauer NAME  51,443  REGISTRATION NUMBER				

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CERTIFICATE OF MAIL	Docket No.			
Applicant(s): Stephen David Pollington et al.			JMYT-353US	
Serial No.	Filing Date	Examiner	Group Art Unit	
10/553,490	Herewith			
Invention: METHOD OF D	ECOMPOSING NITROG	SEN DIOXIDE		
I hereby certify that the fol		ts (with Form PTO 1300 and al	Lof the documents	
indicated therein as being	n of Missing Requirement ng enclosed).	ts (with Form PTO-1390 and al	TOT THE GOODINETIES	
		•		
	(Identify ty)	oe of correspondence)		
Mail Stop PCT, Commissioner for Patents on June 16, 2006	s, P.O. Box 1450, Alexandria	a, VA 22313-1450		
		Dennis McDermott (Typed or Printed Name of F	Person Mailing Correspondence)	
		To am d	,	
		(Signature of Person	Mailing Correspondence)	
		EV 843285678 US		
		("Express Mail" N	Mailing Label Number)	
	Note: Each paper must	have its own certificate of mailing.		
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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1459 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/553,490

Stephen David Pollington

JMYT-353US

PCT/GB04/01680

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

04/19/2004

04/17/2003

23122 **RATNERPRESTIA** P O BOX 980 VALLEY FORGE, PA 19482-0980

Date Mailed: 06/08/2006

JUN 1 2 2006

**CONFIRMATION NO. 1298 371 FORMALITIES LETTER** 

\*QC000000019176503

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/17/2005
- Copy of the International Search Report filed on 10/17/2005
- Preliminary Amendments filed on 10/17/2005
- Information Disclosure Statements filed on 10/17/2005
- Oath or Declaration filed on 10/17/2005
- Request for Immediate Examination filed on 10/17/2005
- U.S. Basic National Fees filed on 10/17/2005
- Priority Documents filed on 10/17/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

## KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/553,490	PCT/GB04/01680	JMYT-353US

FORM PCT/DO/EO/905 (371 Formalities Notice)